

**OTIF**



**ORGANISATION INTERGOUVERNEMENTALE POUR  
LES TRANSPORTS INTERNATIONAUX FERROVIAIRES**

**ZWISCHENSTAATLICHE ORGANISATION FÜR DEN  
INTERNATIONALEN EISENBAHNVERKEHR**

**INTERGOVERNMENTAL ORGANISATION FOR INTER-  
NATIONAL CARRIAGE BY RAIL**

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**Commission d'experts techniques  
Fachausschuss für technische Fragen  
Committee of Technical Experts**

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**4<sup>th</sup> Session of the Committee of Technical Experts  
Berne, 14-15 September 2011**

Item 4

Report from the Committee of Technical Experts working group  
WG TECH  
Activities since the 3<sup>rd</sup> session of CTE (February 2009)

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## 1. Results of the 3<sup>rd</sup> session of the Committee of Technical Experts (February 2009)

At the 3<sup>rd</sup> session of the Committee of Technical Experts (CTE) held in Berne on 11 and 12 February 2009, the Rules of Procedures of the CTE and the following APTU Annexes (future Uniform Technical Prescriptions - UTPs) were adopted:

1. Annex 1A Essential requirements,
2. Annex 1B Subsystems,
3. Annex 1C Technical file,
4. Annex 1F Assessing Entity – Qualifications and Independence

and

5. OTIF Register system – Rolling Stock: National Vehicle Register

After notification to the OTIF Member States (MS) on 27 February 2009 (circular A 92-03/501.2009) these Annexes entered into force on 1 August 2009.

The MS are required to have their National Vehicle Register (NVR) operational by 1 August 2010 at the latest. The OTIF Secretariat offered to host the NVR for non-EU OTIF MS that have a limited number of vehicles to register.

Implementation of the NVR in the EU OTIF MS is an ongoing process which is being administered by the European Railway Agency (ERA).

The 3<sup>rd</sup> session of CTE proposed to submit to the Revision Committee the amendments to the APTU and ATMF Appendices drafted by the 2<sup>nd</sup> “Schweinsberg” group and recommended to CTE by the working group WG LEGAL. The CTE decided to close the working group WG LEGAL, as it has completed its task.

## 2. Activities of WG TECH

WG TECH held six meetings (9<sup>th</sup> to 14<sup>th</sup>) on:

18 and 19 November 2009, 24 and 25 March 2010, 23 and 24 June 2010, 15 and 16 September 2010, 9 and 10 March 2011 and 24 and 25 May 2011.

The 12<sup>th</sup> meeting was held instead of the 4<sup>th</sup> session of the Committee of Technical Experts (CTE), which had been convened for that time. The joint decision of the Chairman of the CTE and the Secretariat to hold a meeting of WG TECH instead of the 4<sup>th</sup> session of CTE was based on analysis and extensive discussion after receiving comments from EC (DG MOVE) on 24 August 2010. These comments resulted from the coordination meeting of the EU OTIF Member States. Owing to the lack of resources in the OTIF Secretariat, the documents could not be amended in line with the comments from the EU, so it was questionable

as to whether adoption of the documents by the CTE, particularly those concerning UTP Freight Wagons and UTP Assessment Procedures, was possible.

All the meetings were held in Berne with the exception of the 10<sup>th</sup> session, which took place at the kind invitation of ERA in Lille.

Delegations from the following **23** MS took part in the meetings:

Belgium, Bosnia and Herzegovina, Croatia, Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Italy, Macedonia (FYR), Montenegro, Netherlands, Norway, Poland, Romania, Serbia, Slovakia, Slovenia, Switzerland, Turkey and the United Kingdom.

**Serbia** and **Switzerland** were represented at **all** the meetings.

The supranational organisations EC DG MOVE and ERA and the international non-governmental organisations or associations CER, CIT, UIC, UIP and VAP were also represented at the meetings.

At the request of the 9<sup>th</sup> session of WG TECH, the OTIF Secretariat organised a workshop on 26 and 27 May 2010 on UTP Rolling Stock - Freight wagons and UTP Rolling Stock - Noise. To reach as many experts as possible and to obtain feedback from them, Skopje was chosen as the venue for the workshop at the invitation of the Ministry of Transport and Communication of the FYR of Macedonia. Thanks to the speakers from CER, the workshop was a great success. All 50 participants concluded that they had benefited from their attendance and that their knowledge of the OTIF regulations had improved.

**a. The items dealt with by WG TECH:**

- Amendment to document A 94-20/1.209 (National Vehicle Register) adopted at the 3<sup>rd</sup> session of CTE (February 2009) including resolution of the case of Norway and Liechtenstein
- Uniform Technical Prescriptions (UTP); former APTU Annexes:
  - o UTP GEN-F Definition of the OTIF Rail System
  - o UTP GEN-D Assessment procedures (modules)
  - o UTP WAG Rolling Stock-Freight Wagons with Annexes A to ZZ
  - o UTP NOI Rolling Stock-Noise
- Amendment of the terminology in the APTU Annexes adopted at the 3<sup>rd</sup> session of the CTE
- Principles for applying UTPs (efficient admission procedures)
- Information from ERA about TSI development,
- Status of implementation of the National Vehicle Registers,
- Vehicle Keeper Marking (VKM)
- o Cooperation with OSJD
- Development of the MoU establishing the basic principles of a common system of certification of Entities in Charge of Maintenance for freight wagons; signature by Switzerland
- ATMF Article 15 § 3

- Evaluation of the “pilot” consultation of non-EU OTIF Member States on draft TSIs (Zagreb, 2 to 5 June 2009)
- Status of the development of the NVRs in the Contracting States
- Status of notification of the national technical requirements according to Article 12 APTU
- OTIF rules for certification and auditing the Entity in Charge of Maintenance (ECM)
- Uniform format of certificates (Type & Operation)
- Amendment of UTP GEN-B: Definition of subsystems
- Preparation of the 4<sup>th</sup> session of the CTE on 14 and 15 September 2011.

**b. The results**

WG TECH prepared for adoption by the CTE at its 4<sup>th</sup> session in September 2011

1. the following UTPs:

- a. UTP GEN-B: Definition of subsystems (consolidated version)\*
  - b. UTP GEN-D: Assessment procedures (modules)
  - c. UTP WAG: Rolling Stock-Freight Wagons with Annexes A to ZZ
  - d. UTP NOI: Rolling Stock-Noise
2. ATMF Annex A: OTIF rules for certification and auditing the Entity in Charge of Maintenance (ECM)
  3. A 94-20/2.2011 (National Vehicle Register) including resolution of the case of Norway and Liechtenstein (consolidated version)
  4. Uniform format of certificates (Type & Operation)\*
  5. The issue of ATMF 15 § 3 (“ensure” or “ascertain”) will be discussed at the 4<sup>th</sup> session of CTE with possible adoption of a solution.

For the 14<sup>th</sup> WG TECH, CER submitted a proposal for the Explanatory Notes to ATMF Article 15; the proposal was not discussed. Based on the proposal, the OTIF Secretariat prepared a document for the CTE for approval. If approved by the CTE, the Explanatory Notes to ATMF Article 15 could be adopted at the next Revision Committee.

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\* WG TECH was consulted in the written procedure